

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled "Multiple Function, Bi-Directional Input/Output Interface For Sound Processing System"

which (check) ☒ is attached hereto.

☐ and is amended by the Preliminary Amendment attached hereto.

☐ was filed on _____ as Application Serial No. _____

☐ and was amended on ____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	Yes	No
N/A			<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
N/A	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
N/A		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Alan H. MacPherson (24,423); Thomas S. MacDonald (17,774); Kenneth E. Leeds (30,566); Brian D. Ogonowsky (31,988); David W. Heid (25,875); Guy W. Shoup (26,805); Forrest E. Gunnison (32,899); Norman R. Klivans (33,003); Edward C. Kwok (33,938); Patrick T. Bever (33,834); David E. Steuber (25,557); Michael Shenker (34,250); Laura Terlizzi (31,307); T. Lester Wallace (34,748); Ronald J. Meetin (29,089); Andrew C. Graham (36,531); Ken John Koestner (33,004); Stephen A. Terrile (32,946); Omkar K. Suryadevara (36,320); David T. Millers (37,396); E. Eric Hoffman (38,186); Kent B. Chambers (38,839); Emily M. Haliday (38,903); Serge J. Hodgson (40,017); David M. Sigmond (34,013); David W. O'Brien (40,107); M. Kathryn Braquet Tsigiotis (34,127); Mark Zagorin (36,067); Michael P. Adams (34,763); Bernard Berman (37,279); Lawrence E. Lycke (38,540); Frederick J. Zustak (36,728); Larry E. Henneman (41,063) and Michael J. Halbert (40,633).

Please address all correspondence and telephone calls to:

David T. Millers
Attorney for Applicant(s)
SKJERVEN, MORRILL, MacPHERSON, FRANKLIN & FRIEL LLP
25 Metro Drive, Suite 700
San Jose, California 95110-1349

Telephone: 408-453-9200
Facsimile: 408-453-7979

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole (or first joint) inventor: Jing-Lu Gu

Inventor's Signature: 

Date: 9/24/97

Residence: Milpitas, California

Post Office Address: 1806 Yosemite Drive
Milpitas, CA 95035

Citizenship: Rep. of China

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jing-Lu Gu
Assignee: Invox Technology
Title: Multiple Function, Bi-Directional Input/Output Interface For Sound Processing System
Serial No.: Unknown Filed: Herewith
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-5176 US

San Jose, California
September 24, 1997

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

**SMALL BUSINESS CONCERN
DECLARATION BY ASSIGNEE**

Dear Sir:

I declare that I am an official empowered to act on behalf of the concern identified above as assignee.

Exclusive rights to the above invention as described in

☒ the specification filed herewith,

☐ U.S. patent application Serial No. , filed

have been conveyed to and remain with the above concern.

For purposes of paying reduced fees under Section 41 of Title 35 of the United States Code with regard to this invention, I declare that the above concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), namely, the concern's number of employees, including those of its affiliates, does not exceed 500 persons and the concern has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any

person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge my duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate per 37 CFR 1.28(b).

I further declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application, or any patent issuing thereon.

Signature: San Ching Wong Date: 9/24/97

Official's Name: San C. Wong

Official's Title: Vice President of Engineering

Concern's Name: Invox Technology

Concern's Address: 2506-A Winchester Blvd., Campbell, CA 95008

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